

2151

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Susser, et al.  
 SERIAL NO.: 09/235,158  
 FILING DATE: January 22, 1999  
 TITLE: TECHNIQUES FOR IMPLEMENTING SECURITY ON A  
 SMALL FOOTPRINT DEVICE USING A CONTEXT BARRIER  
 EXAMINER:  
 ART UNIT:



RECEIVED  
 DEC 18 2000  
 Technology Center 2100

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I hereby certify that this paper is being deposited with the United States Postal Service as First Class  
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 the date printed below:

Date: 11/30/00 Name: Nicki Kuzn  
 Nicki Kuzn

COMMISSIONER FOR PATENTS  
 WASHINGTON, D.C. 20231

**TRANSMITTAL LETTER**

Enclosed for filing are the following documents:

1. Revocation of Attorney and Power of Attorney with Certificate Under 37 CFR §3.73(b) attached.

It is believed that no fee is required for filing the above-referenced documents. In the event that any additional fee is required, including any fees required under 37 C.F.R. §1.136 for any necessary extension of time to make the filing of the attached documents

timely, the Commissioner is hereby authorized to charge any fees, and credit any overpayments, to Deposit Account No. 04-0025. A duplicate of this page is enclosed.

Respectfully submitted,  
D'ALESSANDRO & RITCHIE



---

Marc S. Hanish  
Reg. No. 42,626

Dated: November 30 2000

D'ALESSANDRO & RITCHIE  
P.O. Box 640640  
San Jose, CA 95164-0640  
Tel.: (408) 441-1100  
Fax: (408) 441-8400

#10  
W.M. Meredith  
4/10/01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Technology Center 2100

APPLICANT: Susser, et al.

SERIAL NO.: 09/235,158

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Date: 11/30/00

Name:

Nicki Kuzo

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231REVOCATION OF ATTORNEY AND POWER OF ATTORNEY

The undersigned, having authority to act on behalf of Sun Microsystems, Inc.,  
assignee of all right, title, and interest in the above-identified patent application, hereby  
revokes all powers of attorney previously given and hereby appoints Kenneth  
D'Alessandro, Registration No. 29,144; David B. Ritchie, Registration No. 31,562;  
Marc S. Hanish, Registration No. 42,626; John P. Schaub, Registration No. 42,125;  
Gerhard W. Thielman, Registration No. 43,186; Adrienne Yeung, Registration  
No. 44,000; Steven J. Robbins, Registration No. 40,299; Kenneth Olsen, Registration

No. 26,493; Timothy J. Crean, Registration No. 37,116; Joseph T. FitzGerald, Registration No. 33,881; Alexander E. Silverman, Registration No. 37,940; Anirma Rakshpal Gupta, Registration No. 38,275; Sean P. Lewis, Registration No. 42,798; Michael J. Schallop, Registration No. 44,319; Bernice B. Chen, Registration No. 42,403; Kenta Suzue, Registration No. 45,145; Noreen Krall, Registration No. 39,734; Richard J. Lutton, Jr., Registration No. 39,756; Monica D. Lee, Registration No. 40,696; Marc D. Foodman, Registration No. 34,110; Naren Chaganti, Registration No. 44,602; Elaine Lee, Registration No. 41,936; Ramin Aghevli, Registration No. 43,462; Hugh H. Matsubayashi, Registration No. 43,779; Paul Sorkin, Registration No. 39,039; and Marilyn Glaubenslee, Registration No. 35,521, as its attorneys to act on applicant's behalf before the United States Patent and Trademark Office for the above-identified application and to transact all business in the Patent and Trademark Office in connection therewith.

Please mail all correspondence to David B. Ritchie at the following address:

D'Alessandro & Ritchie  
P.O. Box 640640  
San Jose, CA 95164-0640

and direct all telephone calls to David B. Ritchie at (408) 441-1100.

Respectfully submitted,  
SUN MICROSYSTEMS, INC.



Kenneth Olsen  
Vice President, Intellectual Property

Dated: NOV 29 2000



Docket No. SUN-P3708

**CERTIFICATE UNDER 37 CFR § 3.73(b)**

**RECEIVED**  
DEC 18 2000  
Technology Center 2100

Applicant: Sun Microsystems, Inc.

Application No.: 09/235,158 Filed: January 22, 1999

For: Techniques for Implementing Security on a Small Footprint Device Using a Context Barrier

Sun Microsystems, Inc., a Delaware Corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 011020, Frame 0922, or for which a copy thereof is attached.

**OR**

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded at the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded at the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded at the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the parent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: NOV 29 2000

Name: Kenneth Olsen

Title: VP, Intellectual Property

Signature: \_\_\_\_\_



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

OCTOBER 13, 2000

PTAS

D'ALESSANDRO & RITCHIE  
DAVID B. RITCHIE  
P.O. BOX 640640  
SAN JOSE, CALIFORNIA 95164-0640

RECEIVED

OCT 23 2000



\*101443023A\*

D'ALESSANDRO & RITCHIE

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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Technology Center 2100

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/31/2000

REEL/FRAME: 011020/0922  
NUMBER OF PAGES: 8

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

STRETCH, ANDY

DOC DATE: 07/20/2000

ASSIGNOR:

SUSSER, JOSHUA

DOC DATE: 07/20/2000

ASSIGNOR:

BUTLER, MITCHEL B.

DOC DATE: 07/20/2000

ASSIGNEE:

SUN MICROSYSTEMS, INC.  
901 SAN ANTONIO ROAD  
PALO ALTO, CALIFORNIA 94303-4900

SERIAL NUMBER: 09235158  
PATENT NUMBER:

FILING DATE: 01/22/1999  
ISSUE DATE:

SERIAL NUMBER: 09235155  
PATENT NUMBER:

FILING DATE: 01/22/1999  
ISSUE DATE:

011:020/0922 PAGE 2

SERIAL NUMBER: 09235159  
PATENT NUMBER:

FILING DATE: 01/22/1999  
ISSUE DATE:

SERIAL NUMBER: 09235157  
PATENT NUMBER:

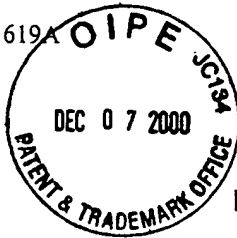
FILING DATE: 01/22/1999  
ISSUE DATE:

SERIAL NUMBER: 09235156  
PATENT NUMBER:

FILING DATE: 01/22/1999  
ISSUE DATE:

JEEVON JONES, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

FORM PTO-1619A  
Expires 08/30/99  
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08-28-2000



101443023

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RECORDATION FORM COVER SHEET  
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Submission Type

☒ New

☐ Resubmission (Non-Recordation)  
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☐ Correction of PTO Error

Reel # Frame #

☐ Corrective Document

Reel # Frame #

Conveyance Type

☒ Assignment

☐ Security Agreement

☐ License

☐ Change of Name

☐ Merger

☐ Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)

☐ Departmental File

☐ Secret File

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Name (line 1) SUSSER, Joshua

Execution Date  
Month Day Year  
07 20 00

Name (line 2)

Second Party

Name (line 1) BUTLER, Mitchel B.

Execution Date  
Month Day Year  
07 20 00

Name (line 2)

09235158

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) SUN MICROSYSTEMS, INC.

Name (line 2)

Address (line 1) 901 SAN ANTONIO ROAD

Address (line 2)

Address (line 3) PALO ALTO

CALIFORNIA

94303-4900

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

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Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231



**Correspondent Name and Address**

Area Code and Telephone Number

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**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

#

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

<input type="text" value="09/235,158"/>	<input type="text" value="09/235,159"/>	<input type="text" value="09/235,156"/>
<input type="text" value="09/235,155"/>	<input type="text" value="09/235,157"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

**Patent Number(s)**

<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month  Day  Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT <input type="text"/>	PCT <input type="text"/>	PCT <input type="text"/>
PCT <input type="text"/>	PCT <input type="text"/>	PCT <input type="text"/>

**Number of Properties**

Enter the total number of properties involved.

#

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment:  
Deposit Account

Enclosed ☒ Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes ☒ No ☐

**Statement and Signature**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

David B. Ritchie



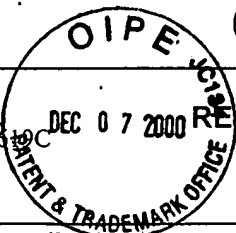
7-25-2000

Name of Person Signing

Signature

Date

FORM PTO-1619C  
Expires 06/30/99  
OMB 0851-0027



RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY

U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Execution Date  
Month Day Year

07 20 00

Name (line 1) STREICH, Andy

Name (line 2)

Name (line 1)

Name (line 2)

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Execution Date  
Month Day Year

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

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City

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Patent Application Number(s)

Patent Number(s)



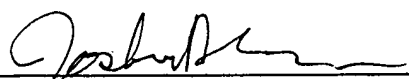
**ASSIGNMENT**

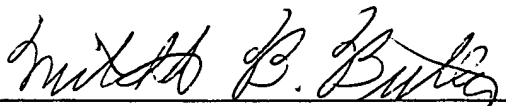
WHEREAS, we, Joshua Susser, a citizen of the United States of America, residing at 216 Dorland Street, San Francisco, California 94114, Mitchel B. Butler, a citizen of the United States of America, residing at 522 N. Cascade Terrace, Sunnyvale, California 94087, and Andy Streich, a citizen of the United States of America, residing at 693 Beach Park Boulevard, Foster City, California 94404, have invented a **"Techniques for Implementing Security on a Small Footprint Device Using a Context Barrier"** for which we have executed application papers for a U.S. patent thereon which was filed on January 22, 1999, serial no. 09/235,158; and;

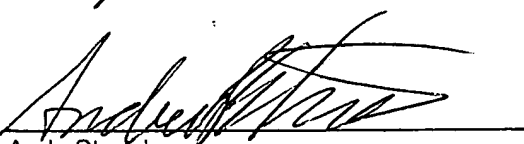
WHEREAS, Sun Microsystems, Inc., a Delaware corporation, having a place of business 901 San Antonio Road, Palo Alto, California 94303-4900, is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, we, Joshua Susser, Mitchel B. Butler, and Andy Streich, do sell, assign, transfer and set over unto the said Sun Microsystems, Inc., its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all Letters Patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Sun Microsystems, Inc., its successors and assigns, in accordance with this Assignment.

WITNESS MY HAND at Cupertino, California, this 20<sup>th</sup> day of July, 2000.

  
Joshua Susser

  
Mitchel B. Butler

  
Andy Streich

**ASSIGNMENT**

WHEREAS, we, Joshua Susser, a citizen of the United States of America, residing at 216 Dorland Street, San Francisco, California 94114, Mitchel B. Butler, a citizen of the United States of America, residing at 522 N. Cascade Terrace, Sunnyvale, California 94087, and Andy Streich, a citizen of the United States of America, residing at 693 Beach Park Boulevard, Foster City, California 94404, have invented a **"Techniques for Permitting Access Across a Context Barrier on a Small Footprint Device Using an Entry Point Object"** for which we have executed application papers for a U.S. patent thereon which was filed on January 22, 1999, serial no. 09/235,157; and;

WHEREAS, Sun Microsystems, Inc., a Delaware corporation, having a place of business 901 San Antonio Road, Palo Alto, California 94303-4900, is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and all countries foreign thereto;

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Joshua Susser  
Joshua Susser

Mitchel B. Butler  
Mitchel B. Butler

Andy Streich  
Andy Streich


**ASSIGNMENT**


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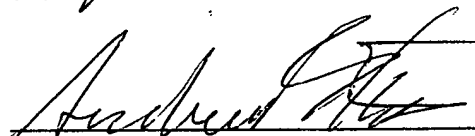
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Joshua Susser

  
Mitchel B. Butler

  
Andy Streich

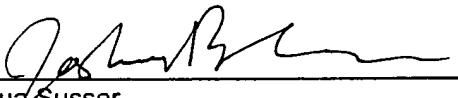
**ASSIGNMENT**

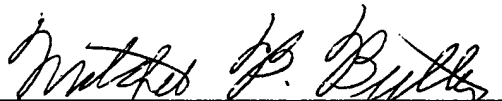
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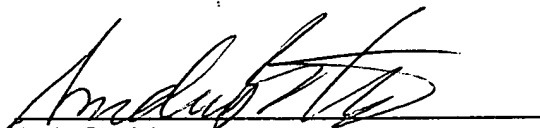
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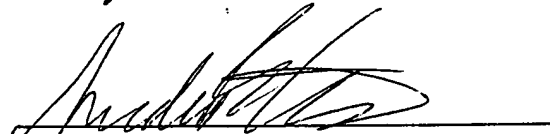
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